

## MEMORANDUM

**To:** File Nos. S7-05-18  
**From:** Richard Gabbert, Counsel to Commissioner Hester M. Peirce  
**Re:** Meeting with Royal Bank of Canada and Others

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On October 30, 2018, Richard Gabbert met with the following people:

- Matt Waldner, Columbia Threadneedle Investments;
- Mett Kinak, T. Rowe Price;
- Craig Hurl, Ontario Teachers Pension Plan;
- Mike Buek, The Vanguard Group;
- Jeff Estella, MFS;
- Jason Vedder, Driehaus Capital Management;
- Bobby Grubert, RBC Capital Markets;
- Rich Steiner, RBC Capital Markets;
- Shawn Maher, Royal Bank of Canada; and
- Danielle Barrett, Royal Bank of Canada.

Among the topics discussed were equity market structure issues, including the proposed transaction fee pilot.