

## MEMORANDUM

**To:** File No. S7-05-18

**From:** Nicholas J. Losurdo  
Counsel to Commissioner Elad L. Roisman

**Date:** November 28, 2018

**Re:** Meeting with Representatives from RBC Capital Markets

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On November 28, 2018, Commissioner Elad L. Roisman and his Counsels (Nicholas Losurdo, Elizabeth Blase, Matthew Estabrook, and Christina Thomas) met with the following individuals from RBC Capital Markets:

- Bobby Grubert
- Ahmed Kachenoura
- Larry Grafstein
- Timothy Grob
- Bob Spangler
- Maria Douvas
- Rich Steiner
- Justin Spitzer
- Chris Walmsley
- John Rhineland
- Shawn Maher
- Danielle Barrett

The topics discussed included the Commission's proposed Transaction Fee Pilot for NMS Stocks. RBC Capital Markets has submitted a letter to the comment file on this matter.