

MEMORANDUM

To: File No. S7-05-18

From: Nicholas J. Losurdo
Counsel to Commissioner Elad L. Roisman

Date: November 28, 2018

Re: Meeting with Representatives from New York Stock Exchange

On November 28, 2018, Commissioner Elad L. Roisman and his Counsels (Nicholas Losurdo, Elizabeth Blase, Matthew Estabrook, and Christina Thomas) met with the following individuals from New York Stock Exchange:

- Stacey Cunningham
- Douglas Yones
- Hope Jarkowski
- Michael Blaugrund
- Lynn Martin

The topics discussed included the Commission's proposed Transaction Fee Pilot for NMS Stocks. New York Stock Exchange has submitted a letter to the comment file on this matter.