

MEMORANDUM

To: File No. S7-05-18

From: Nicholas J. Losurdo
Counsel to Commissioner Elad L. Roisman

Date: December 6, 2018

Re: Meeting with Representatives from TD Ameritrade

On December 6, 2018, Commissioner Elad L. Roisman and his Counsels (Nicholas Losurdo and Elizabeth Blase) met with the following individuals from TD Ameritrade:

- JJ Kinahan
- John Markle
- Matt Billings
- Ovidio Montemayor
- Joel Oswald (Williams & Jensen)

The topics discussed included the Commission's proposed Transaction Fee Pilot for NMS Stocks. TD Ameritrade has submitted a letter to the comment file on this matter.