

MEMORANDUM

TO: File No. S7-05-18

FROM: Ben Bernstein
Division of Trading and Markets

DATE: November 28, 2018

SUBJECT: Meeting with Representatives of Nasdaq

On November 28, 2018, Commission staff met with representatives of Nasdaq. During that meeting, the Transaction Fee Pilot Proposal (34-82873) was one of the topics discussed.

The Commission staff representatives from the Division of Trading and Markets were Brett Redfearn, Christian Sabella, David Shillman, Andrea Orr, Richard Holley, David Saltiel, Erika Berg, Johnna Dumler, and Ben Bernstein. The Commission staff representatives from the Division of Economic and Risk Analysis were Amy Edwards, Peter Dixon, and John Ritter.

The Nasdaq representatives were Tal Cohen, Jeff Davis, and John Zecca.