

MEMORANDUM

TO: File No. S7-05-18

FROM: Erika Berg
Division of Trading and Markets

DATE: November 29, 2018

SUBJECT: Meeting with Representatives of American Securities Association

On November 19, 2018, Brett Redfearn, Dave Shillman, Andrea Orr, Yue Ding, Mark Donohue (via telephone), David Saltiel, Ted Uliassi, Andrew Sherman, and Erika Berg, all from the Division of Trading and Markets, met with the following representatives of the American Securities Association:

Christopher A. Iacovella (American Securities Association)
Anthony Pignio (Stephens Inc.)
Eric Weiner (Janney Montgomery Scott LLC)
Jeff Woodford (KeyBanc Capital Markets Inc.)

The discussion concerned, among other things, the proposed rulemaking related to a Transaction Fee Pilot [Release No. 34-82873].