

## MEMORANDUM

**To:** File Nos. S7-15-18 and S7-05-18

**From:** Eric Diamond, Senior Advisor to Chairman Jay Clayton

**Re:** Meeting with Representatives of State Street

**Date:** October 3, 2018

---

On September 26, 2018, Chairman Jay Clayton and Eric Diamond (Senior Advisor to Chairman Clayton) met with the following representatives of State Street:

- Cyrus Taraporevala, CEO, State Street Global Advisors;
- Phillip Gillespie, Executive Vice President and General Council, State Street Global Advisors;
- James Ross, Executive Vice President of State Street Global Advisors and Chairman of Global SPDR;
- Joseph Barry, Senior Vice President, State Street;
- Steven Patterson, Managing Director, State Street; and
- Justin Daly of Daly Consulting.

The meeting participants discussed, among other things, the SEC's proposed rules governing exchange traded funds and a transaction fee pilot.