

## **MEMORANDUM**

TO: File No. S7-05-18

FROM: Richard Holley  
Division of Trading and Markets

DATE: October 02, 2018

SUBJECT: Meeting with Representatives of TD Ameritrade

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On October 2, 2018, Commission staff met with representatives of TD Ameritrade. During that meeting, the Transaction Fee Pilot Proposal (34-82873) was one of the topics discussed.

The Commission staff representatives from the Division of Trading and Markets were Brett Redfearn, Andrea Orr, Ajay Sutaria, John Roeser, Dan Gray, David Saltiel, Arun Manoharan, Richard Holley, Molly Kim, Theodore Venuti, Yue Ding, Cristie March, Michael Ogershok, and Nicholas Shwayri.

The TD Ameritrade representatives were Ellen Koplow, John Markle, Joe Kinahan, Steve Quirk, Matt Billings, Ovi Montemayor, as Joel Oswald (Williams and Jensen).