

MEMORANDUM

TO: File No. S7-05-18

FROM: Johnna Dumler
Division of Trading and Markets

DATE: June 19, 2018

SUBJECT: Meeting with Representatives of Investment Company Institute and Asset Management Representatives

On June 19, 2018, Brett Redfearn, Ajay Sutaria, John Roeser, Theodore Venuti, Richard Holley, Johnna Dumler, Michael Bradley, Benjamin Bernstein, Erika Berg, Andrew Sherman and Emerald Greywoode, from the Division of Trading and Markets, and Amy Edwards and Jennifer Juergens, from the Division of Economic and Risk Analysis, met with the following individuals:

Michael Buek, Vanguard
George Gilbert, ICI
Jane Heinrichs, ICI
Jeffrey Estella, MFS
Brenda Farley, MFS
Mehmet Kinak, T. Rowe Price
Matt Lyons, Capital Group
Morris Mitler, ICI
Mara Shreck, JP Morgan Asset Management
Ryan Szakacs, JP Morgan Asset Management
Peter Stutsman, Capital Group

The discussion concerned, among other things, the proposed rulemaking relating to a Transaction Fee Pilot [Release No. 34-82873].