

## MEMORANDUM

TO: File No. S7-05-18

FROM: Johnna Dumler  
Division of Trading and Markets

DATE: June 5, 2018

SUBJECT: Meeting with Representatives of Rosenblatt Securities and Asset  
Management Representatives

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On June 5, 2018, Brett Redfearn, Ajay Sutaria, Andrea Orr, David Metzman, David Shillman, John Roeser, Daniel Gray, Theodore Venuti, Richard Holley, Arisa Kettig, Johnna Dumler, Erika Berg, Andrew Sherman, Benjamin Bernstein and Emerald Greywoode, from the Division of Trading and Markets, met with the following individuals.

David Schneider, Baron Capital  
Justin Schack, Rosenblatt Securities  
Joe Gawronski, Rosenblatt Securities  
Joe Benanti, Rosenblatt Securities  
Chris Fiorito, River Road Asset Management  
Craig Gaskin, TD Asset Management  
David Warhoftig, Avalon Advisors  
Mark Allan, Scopia Capital Management  
Melissa Hinmon, Glenmede Investment Management  
Sean Paylor, AJO

The discussion concerned, among other things, the proposed rulemaking relating to a Transaction Fee Pilot [Release No. 34-82873].