

MEMORANDUM

To: File Nos. S7-05-14, S7-08-12, S7-14-15

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of BNP Paribas

On February 26, 2018, Commissioner Hester Peirce and Richard Gabbert met with the following individuals from BNP Paribas:

- Jean-Yves Fillion;
- Jeffrey Siegel;
- Betty Welchel; and
- Thomas Rosenkoetter.

Topics discussed include the implementation of Title VII requirements applicable to the security-based swap market and participants in that market, including security-based swap dealers.