

MEMORANDUM

To: File Nos. S7-05-14, S7-08-12, S7-14-15

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of the Barclays

On February 23, 2018, Commissioner Hester Peirce and Richard Gabbert met with the following individuals from Barclays:

- Mark Shelton;
- Brendan Reilly; and
- Adam Kezsbom.

Among the topics discussed were the implementation of Title VII requirements applicable to participants in the security-based swap market, including security-based swap dealers.