

## MEMORANDUM

**TO:** File Number S7-05-14

**FROM:** Randall W. Roy  
Deputy Associate Director  
Office of Financial Responsibility, Division of Trading and Markets  
U.S. Securities and Exchange Commission

**DATE:** December 6, 2017

**RE:** Meeting with BNP Paribas Representatives

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On December 6, 2017, Commission staff, by telephone, discussed with representatives of BNP Paribas the proposed rules and rule amendments on recordkeeping and reporting requirements for security-based swap dealers, major security-based swap participants, and broker-dealers, and the capital rule for certain security-based swap dealers (release number 34-71958).

Commission staff included Randall Roy and Kari Jin.

BNP Paribas representatives on the call included: Alice Aubert, John Loatman, Thomas Rosenkoetter; Steve Nawrocki; Laurent Samama; Andrew Alter; Guillaume De Bourayne; Bo Hong; Arnaud De Tregomain; Francois De Neuville; Gregory Cordany; Michelle Howard; and Clare Sirois.