

MEMORANDUM

To: File Nos. S7-26-22; S7-22-21; S7-16-22; S7-17-22; S7-04-23
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of State Street Global Advisors (SSGA)

On 22 May, 2023 Commissioner Hester M. Peirce, and Ammon Simon and William Middlebrooks, Counsels to Commissioner Peirce, met with the following SSGA representatives:

- Yie-Hsin Hung, CEO SSGA
- Sean O'Malley, General Counsel, SSGA
- Joe Barry, Senior Vice President, Regulatory, Industry and Government Affairs (RIGA)
- Steve Patterson, Managing Director RIGA

Among the topics discussed were the Commission's rulemaking proposals on:

- *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting;*
- *Money Market Fund Reforms;*
- *Investment Company Names;*
- *Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices;*
- *Safeguarding Advisory Client Assets.*