

MEMORANDUM

To: Comment File – Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews
File No: S7-03-22

From: Janna I. Berke, Counsel to Commissioner Caroline Crenshaw
U.S. Securities and Exchange Commission

Date: August 21, 2023

Re: Meeting with Representatives of the Managed Funds Association (“MFA”)

On July 14, 2022, Commissioner Crenshaw and her counsel Janna Berke met with the following members on behalf of MFA:

- Jennifer Han, Executive Vice President, Chief Counsel & Head of Global Regulatory Affairs, Managed Funds Association
- Matthew Daigler, Vice President, Senior Counsel, Managed Funds Association
- Isaac Haas, Global Deputy General Counsel & Senior Managing Director, Cerberus Capital Management, L.P.
- Jonah Platt, U.S. Head of Government & Regulatory Policy, Citadel LLC
- Daniel Gutin, General Counsel & Chief Compliance Officer at Brinley Partners, LP
- Hunter Landrum, Vice President, Two Sigma Investments
- Israel Friedman, Global Deputy General Counsel and Senior Managing Director, Cerberus Capital Management

The proposal entitled “Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews” was among the topics discussed.