

MEMORANDUM

To: Comment File – Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews
File No: S7-03-22

From: Janna I. Berke, Counsel to Commissioner Caroline Crenshaw
U.S. Securities and Exchange Commission

Date: August 21, 2023

Re: Meeting with Investment Adviser Association’s (“IAA”) Board of Governors

On June 6, 2023, Commissioner Crenshaw (in person) and her counsel Janna Berke (telephonically) met with the following members of the IAA Board of Governors:

Ben Alden	Sonia Kowal
Alison Baur	Christine Morgan
Jim Febeo	Maureen Quigley
Yvette Garcia	Gwen Reinke
Joan Giardina	Steve Wilkes
Bret Hester	
Carlotta King	
Mason King	

The proposal entitled “Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews” was among the topics discussed.