

MEMORANDUM

To: Comment File – Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews
File No: S7-03-22

From: Janna I. Berke, Counsel to Commissioner Caroline Crenshaw
U.S. Securities and Exchange Commission

Date: August 21, 2023

Re: Meeting with Representatives of SIFMA

On March 23, 2022, Commissioner Crenshaw and her counsel Janna Berke met with the following members on behalf of SIFMA:

- David Plutzer - Goldman Sachs - General Counsel, US Asset Management
- Kyle Russ - Goldman Sachs - Managing Director
- Natan Hecht – JPMorgan Chase – Managing Director
- Mustufa Salehbhai - Morgan Stanley - Managing Director
- Teale Long - Neuberger Berman Group - Senior Vice President
- William Braverman - Neuberger Berman Group - Managing Director, General Counsel
- Lindsey Keljo -SIFMA - Head of Asset Management Group and Associate General Counsel
- Adam Kanter - Mayer Brown – Partner

The proposal entitled “Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews” was among the topics discussed.