

To: File Nos.: S7-07-16, S7-03-22, S7-10-22, S7-20-22
From: Office of Public Engagement
Date: 8/8/23
Re: Virtual Meeting with Labor Organizations

On August 4, 2023, Chair Gensler and SEC staff met with labor organizations, including AFL-CIO; Communications Workers of America (CWA); Laborers' International Union of North America (LIUNA); International Brotherhood of Teamsters; American Federation of Teachers (AFT), America Federation of State, County and Municipal Employees (AFSCME); UNITE HERE; and Strategic Organizing Center (SOC) Investment Group to discuss the following proposals:

- Incentive-Based Compensation Arrangements
- Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews
- The Enhancement and Standardization of Climate-Related Disclosures for Investors
- Substantial Implementation, Duplication, and Resubmission of Shareholder Proposals Under Exchange Act Rule 14a-8

Members of the SEC included:

- Chair Gary Gensler
- Corey Klemmer, Corporation Finance Counsel

Representatives from NAIC included:

- Brandon Rees, Deputy Director of Corporations and Capital Markets, AFL-CIO
- Tom Balog, Senior Strategic Research Associate, Capital Strategies and Corporate Governance, CWA
- Jennifer O'Dell, Assistant Director, Corporate Affairs, LIUNA
- Michael Pryce-Jones, Co-Director, Capital Strategies Unit, Teamsters
- Elizabeth Parisian, Associate Director, Research, Strategic Initiatives and Economic Security, AFT
- John Keenan, Corporate Governance Analyst, AFSCME
- Mike Rodriguez, UNITE HERE
- Ty Hudson, Senior Research Analyst, UNITE HERE
- Rich Clayton, Research Director, SOC Investment Group