On June 3, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) held a videoconference with representatives of the IAA.

Participants included SEC staff from the Division of Investment Management: William Birdthistle (Director), Sarah ten Siethoff (Deputy Director), Melissa Roverts Harke (Assistant Director), Jennifer Porter (Senior Policy Adviser), Marc Mehrespand (Branch Chief), Christine Schleppegrell (Acting Branch Chief), Thomas Strumpf (Senior Counsel), Robert McDavid Holowka (Attorney-Adviser), Shane Cox (Attorney-Adviser), as well as SEC staff from the Division of Economic and Risk Analysis: Jessica Wachter (Chief Economist and Director), Ross Askanazi (Financial Economist), Justin Vitanza (Financial Economist) as well as representatives from the IAA:

- Gail Bernstein - IAA (General Counsel)
- Monique Botkin - IAA (Associate General Counsel)
- Tracy Soehle - AMG (Managing Director and Senior Counsel)
- Kyle Brady - Hall Capital (Managing Director, General Counsel and Chief Compliance Officer)
- Fred Neary - Hall Capital (Vice President and Associate General Counsel)
- Josh Levit - Invesco (Chief Compliance Officer, Private Markets)
- Stephen Sullivan - Invesco (Senior Counsel)
- Andrea Santoriello - J.P. Morgan Asset Management (Executive Director and Assistant General Counsel)
- Gwen Reinke - Vista Equity Partners (Chief Compliance Officer, IAA Board of Governors)
- Alpa Patel - Kirkland & Ellis LLP (Partner)
- Josh Westerholm - Kirkland & Ellis LLP (Partner)

Among other things, the participants discussed the SEC’s proposal titled “Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews,” set forth in Release No. IA-5955 (Feb. 9, 2022).