

MEMORANDUM

TO: File Nos. S7-26-22, S7-17-22

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Boston Asset Managers Association

DATE: April 24, 2023

On April 24, 2023, Commissioner Jaime Lizárraga, Parisa Haghshenas, and Brandon Hill met with the following individuals with the Boston Asset Managers Association:

- Megan Greeley – Chartwell Strategy Group
- Oswaldo (Ozzie) Palomo – Chartwell Strategy Group
- Jim Segel – Boston Asset Managers Association
- Cathy Saunders – Putnam Investments
- Matthew Higgins – Putnam Investments
- James Clark – Putnam Investments
- Jim Febeo – Fidelity Investments
- Rick Metters – Fidelity Investments
- Tom Samoluk – John Hancock
- Christopher (Kit) Sechler – John Hancock Group of Funds
- John Mitchem – JM3 Projects
- Kristy Croushore – Fidelity Investments
- Dan Barry – Fidelity Investments

Among the topics discussed were the Commission's proposed rules on Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies and Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting.