

## MEMORANDUM

TO: File Nos. S7-03-22, S7-04-23, S7-12-22

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Alternative Investment Management Association

DATE: April 25, 2023

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On April 25, 2023, Commissioner Jaime Lizárraga, Parisa Haghshenas, Brandon Hill, Laura D’Allaird, and Diem-Mi Lu met with the following individuals with the Alternative Investment Management Association:

- Jiri Kroll – Alternative Investment Management Association
- Jennifer Wood – Alternative Investment Management Association
- Daniel Austin – Alternative Investment Management Association
- Karl Wachter – Magnetar Capital
- Milan Dalal – Tiger Hill Partners

Among the topics discussed were the Commission’s proposed rules on Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews, Safeguarding Advisory Client Assets, and Further Definition of “As a Part of a Regular Business” in the Definition of Dealer and Government Securities Dealer.