

MEMORANDUM

TO: File No. S7-03-20

FROM: Claire O'Sullivan
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of RBC Capital Markets, The Capital Group, Ontario teachers' Pension Plan, Janus-Henderson Investors, and Brandes Investment Partners

On October 9, 2020, Commissioner Caroline Crenshaw, Claire O'Sullivan, and Robert Cobbs spoke with:

- Peter Stutsman, Global Head of Equity Trading, The Capital Group
- Craig Hurl, Director of Global Equity Trading, Ontario Teachers Pension Plan
- Dan Royal, Global Head of Equity Trading, Janus-Henderson Investors
- Joe Scafidi, Director of Global Trading, Brandes Investment Partners
- Rich Steiner, Head of Client Advocacy and Market Innovation, RBC Capital Markets
- Shawn Maher, Managing Director and Head of US Regulatory and Government Affairs, RBC
- Matt Reed, Executive Director, US Regulatory and Government Affairs, RBC
- Danielle Barrett, Associate Director, US Regulatory and Government Affairs, RBC.

Among the topics discussed was the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.