

MEMORANDUM

TO: File No. S7-03-20

FROM: Josh Nimmo
Office of Market Supervision, Division of Trading and Markets

DATE: August 28, 2020

SUBJECT: Meeting with RBC

On August 26, 2020, Brett Redfearn, David Shillman, John Roeser, Andrea Orr, Kelly Riley, Michael Coe, Ted Uliassi, David Saltiel, Mark Donohue, Peggy Sullivan, Gerard Citera, Dmitry Bulkin, Yvonne Fraticelli, Leigh Duffy, Steve Kuan, and Josh Nimmo, all from the Division of Trading and Markets, and Amy Edwards and Paul Barton from the Division of Economic and Risk Analysis, had a phone call with representatives from RBC and the firms identified below:

- Shawn Maher, RBC
- Matt Reed, RBC
- Rich Steiner, RBC
- Danielle Barrett, RBC
- Doug Schrank, Southeastern Asset Management
- Lisa Lynch, Wellington Management
- Jason Vedder, Driehaus Capital Management
- Craig Hurl, Ontario Teachers' Pension Plan
- Peter Stutsman, The Capital Group
- Nola Kopfer, MFS Investment Management

Participants discussed the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.