

MEMORANDUM

TO: File No. S7-03-20

FROM: Josh Nimmo
Office of Market Supervision, Division of Trading and Markets

DATE: August 12, 2020

SUBJECT: Meeting with Nasdaq

On August 5, 2020, Brett Redfearn, Christian Sabella, David Shillman, David Saltiel, Kelly Riley, Ted Uliassi, Andrea Orr, Peggy Sullivan, Dan Gray, Dmitry Bulkin, Gerard Citera, Sara Hawkins, Alex Jadin, An Phan, Beth Badawy, Mark Donohue, Yvonne Fraticelli, Leigh Duffy, Steve Kuan, and Joshua Nimmo, all from the Division of Trading and Markets, and Selvin Akkus Clemens, Patti Vegella, John Ritter, and Paul Barton from the Division of Economic and Risk Analysis, had a phone call with representatives from Nasdaq:

- Jeffery Davis
- John Zecca
- Tal Cohen
- Jeffery Kimsey
- Kevin Kennedy
- Brett Kitt

Participants discussed the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.