

## MEMORANDUM

TO: File No. S7-03-20

FROM: Josh Nimmo  
Office of Market Supervision, Division of Trading and Markets

DATE: May 13, 2020

SUBJECT: Meeting with SIFMA

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On May 13, 2020, Brett Redfearn, Christian Sabella, David Shillman, John Roeser, Kelly Riley, Ted Uliassi, Andrea Orr, David Saltiel, Peggy Sullivan, Gerald Citera, Yvonne Fraticelli, Leigh Duffy, Steve Kuan, Elizabeth Badawy, and Joshua Nimmo, all from the Division of Trading and Markets, and Amy Edwards from the Division of Economic and Risk Analysis, had a phone call with:

SIFMA Members:

- Sapna Patel, Morgan Stanley
- Vlad Khandros, UBS
- Gregg Berman, Citadel Securities
- Michael Masone, Citi
- Ian Weston, JP Morgan
- Rich Steiner, RBC
- Chris Nielsen, Schwab
- Diwa Cody, Goldman Sachs
- Tom Merritt, Virtu
- Matt Billings, Two Sigma Securities
- Edmund Flynn, TD Ameritrade
- George Wolf, UBS

SIFMA Staff:

- Ellen Greene
- Wes Althoff
- Robert Toomey
- Joe Corcoran

Participants discussed the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.