

## **MEMORANDUM**

TO: File No. S7-03-20

FROM: Kelly Riley  
Office of Market Supervision, Division of Trading and Markets

DATE: June 22, 2020

SUBJECT: Meeting with Investment Company Institute (ICI)

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On May 21, 2020, Brett Redfearn, Christian Sabella, David Shillman, Kelly Riley, and Andrea Orr from the Division of Trading and Markets had a phone call with the following representatives and members of ICI:

- Dorothy Donohue, ICI
- Nhan Nguyen, ICI
- Otis Cowan, American Century Investments
- Hubert DeJesus, Blackrock
- Jae Chung, Capital Research & Management
- Peter Stutsman, Capital Research & Management
- Michael Triessl, Capital Research & Management
- Brian Frambes, Fidelity Investments
- Steve Sachs, Goldman Sachs Asset Management
- Bojan Petrovich, J.P. Morgan Chase & Co.
- Nola Kopfer, MFS Investment Management
- Nevis Bregasi, MFS Investment Management
- Prafulla Saboo, Morgan Stanley
- Andrew Nelson, Nuveen
- Kirk Allen, NWQ Investment Management
- Eden Simmer, PIMCO
- Rich Ledee, ProShare Advisors
- Keith Botti, ProShare Advisors
- Ryan Cole, RBC Asset Management
- Nathaniel Evarts, State Street Global Advisors
- Amy Iseppi, State Street Corporation
- Jonathan Siegel, T. Rowe Price Associates
- Mehmet Kinak, T. Rowe Price Associates
- Mike Buek, Vanguard Group
- George Gilbert, Vanguard Group
- Nanette Buziak, Voya Investment Management
- William Thompson, William Blair Investment Management

Participants discussed the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.