

MEMORANDUM

TO: File
FROM: Margaret Rubin
RE: Meeting with Representatives of Goldman Sachs
DATE: June 19, 2015

On June 18, 2015, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from Goldman Sachs.

The SEC representatives present were Gary Barnett, Gary Goldsholle, Brian Bussey, Mike Macchiaroli, Tom McGowan, Randall Roy, John Roeser, Nancy Burke-Sanow, Michael Gaw, Yvonne Fraticelli, Leah Mesfin, Michael Bradley, Wenchi Hu, Christian Sabella, Jeffrey Mooney, Elizabeth Fitzgerald, Marie Louise-Huth, and Margaret Rubin. The Goldman Sachs representatives were Ken Connolly, Alexander Evis, John Vitha, Margaret Vaden, and Amy Hong. At the meeting, the SEC and Goldman Sachs representatives discussed approaches to margin, mandatory clearing, Regulation SBSR, and the April 29, 2015 proposal.