

MEMORANDUM

TO: Commission File No. S7-03-14

FROM: Matthew T. Lee
Office of Clearance and Settlement
Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 16, 2016

RE: Meeting with Representatives of the Options Clearing Corporation (“OCC”)

On February 16, 2016, representatives of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of OCC. The SEC representatives participating were Gary Goldsholle, Christian Sabella, Jeffrey Mooney, Stephanie Park, Matthew Lee, DeCarlo McLaren, and Marie-Louise Huth. The OCC representatives participating were Joe Kamnik, Joe Corcoran, and Christal Lint.

During the meeting, the attendees discussed the SEC’s proposed standards for covered clearing agencies.