

MEMORANDUM

TO: File No. S7-03-13
File No. S7-02-10
File No. 4-657

FROM: James Burns

DATE: June 19, 2013

SUBJECT: Meeting with TD Ameritrade

On June 18, 2013, Mary Jo White, Chair; Nathaniel Stankard, Deputy Chief of Staff; and Jim Burns, Deputy Director, Division of Trading and Markets, met with the following representatives from TD Ameritrade:

- Fred Tomczyk: President and CEO
- Paul Jiganti, Managing Director, Market Structure, Customer Advocacy and Capital Markets for TD Ameritrade;
- Ellen Koplou, General Counsel of TD Ameritrade
- John Markle, Deputy General Counsel of TD Ameritrade

They were joined by Joel Oswald and David Franasiak of Williams & Jensen

During the meeting, the TD Ameritrade representatives discussed, among other issues, the Commission's 2010 concept release on equity market structure and ongoing review by agency staff of related issues, the Commission's recent rule proposal on money market funds, and the issue of tick sizes, as highlighted in the Commission's recent decimalization roundtable.