

**MEMORANDUM**

**To:** File No. S7-03-10

**FROM:** Theodore S. Venuti  
Division of Trading and Markets

**DATE:** June 21, 2010

**RE:** Staff Meeting with J.P. Morgan

On June 17, 2010, representatives of J.P. Morgan (Brett Redfearn; Irene Halpin; Matthew Connolly; and Antonio Reyes Miras) met with staff from the Division of Trading and Markets (James Brigagliano, Deputy Director, Marc McKayle, Special Counsel; and Theodore Venuti, Special Counsel) to discuss the Commission's proposed rule regarding risk management controls for brokers or dealers with market access.