

MEMORANDUM

TO: Files No. S7-30-22 and S7-31-22

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Representatives from Nasdaq

DATE: June 5, 2023

On June 5, 2023, Jaime Lizarraga, Parisa Haghshenas, Laura D’Allaird, Diem-Mi Lu and Brandon Hill met with the following individuals:

- John Zecca: Executive Vice President, Chief Legal Risk and Regulatory Officer
- Kevin Kennedy: Executive Vice President, Head of North American Market Services
- Jeff Davis: Senior Vice President, Deputy General Counsel
- Phil Mackintosh: Senior Vice President, E&S Research
- Chuck Mack: VP, Product Development
- Brett Kitt: AVP, Principal Associate General Counsel

Among the topics discussed were the Commission’s proposed rules on Order Competition and Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders.