

MEMORANDUM

TO: File
FROM: Margaret Rubin
RE: Meeting with Representatives of Better Markets
DATE: June 3, 2014

On May 29, 2014, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from Better Markets.

The SEC representatives participating at the meeting were Brian Bussey, Richard Gabbert, Carol McGee, and Margaret Rubin. The Better Markets representatives at the meeting were Dennis Kelleher, Stephen Hall, and Caitlin Kline. At the meeting, the Better Markets representatives provided their views and observations on changes in the OTC derivatives market in response to ongoing implementation of Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act.