

MEMORANDUM

TO: File No. S7-02-13

FROM: Paul A. Gumagay
Office of Commissioner Luis A. Aguilar

DATE: May 28, 2014

SUBJECT: Meeting with Representatives of the Institute of International Bankers

On May 28, 2014, Commissioner Aguilar and Paul A. Gumagay, Counsel to the Commissioner, met with the Institute of International Bankers representatives Sarah Miller (Chief Executive Officer, IIB) and Colin D. Lloyd (Cleary Gottlieb Steen & Hamilton LLP). The discussion included, among other things, the Commission's proposed rules regarding Cross-Border Security-Based Swap Activities; Re-Proposal of Regulation SBSR and Certain Rules and Forms Relating to the Registration of Security-Based Swap Dealers and Major Security-Based Swap Participants.