

MEMORANDUM

TO: File
FROM: Margaret Rubin
RE: Meeting with Representatives of Better Markets
DATE: May 27, 2014

On May 23, 2014, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from Better Markets.

The SEC representatives participating at the meeting were Brian Bussey, Joshua Kans, Richard Gabbert, Carol McGee, and Margaret Rubin. The Better Markets representatives at the meeting were Dennis Kelleher, Steve Hall, Caitlin Kline, Katelynn Bradley. At the meeting, the Better Markets representatives provided their views and observations on changes in the OTC derivatives market in response to ongoing implementation of Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act.