

## MEMORANDUM

TO: File  
FROM: Margaret Rubin  
RE: Meeting with Representative of Barclays Capital Inc.  
DATE: May 13, 2014

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On May 8, 2014, representatives from the Securities and Exchange Commission (“SEC”) participated in a conference call with representatives from Barclays.

The SEC representatives present were Brian Bussey, Carol McGee, Joshua Kans, Richard Gabbert, and Margaret Rubin. The Barclays Capital Inc. representative on the call was Chris Allen. On the call, the Barclays Capital Inc. representative provided views and observations on changes in the OTC derivatives market in response to ongoing implementation of Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act.