MEMORANDUM

TO: File No. S7-02-13

FROM: Richard E. Grant

Office of Commissioner Michael S. Piwowar

DATE: January 9, 2014

SUBJECT: Meeting with Representatives of Credit Suisse

On January 9, 2014, Commissioner Piwowar and Richard Grant, Counsel to the Commissioner, met with Credit Suisse representatives Rob Shafir, Joe Seidel, and Jessica Mandel.

At the meeting, the Credit Suisse representatives presented their views and observations on the implementation of the Dodd-Frank Act, including the SEC's Cross-Border Proposal.