

MEMORANDUM

TO: File No. S7-53-10
File No. S7-34-10
File No. S7-02-13

FROM: Richard E. Grant
Office of Commissioner Michael S. Piwowar

DATE: October 29, 2013

SUBJECT: Meeting with Representatives of ICE Trade Vault (ICE)

On October 29, 2013, Commissioner Piwowar and Richard Grant, Counsel to the Commissioner, met with ICE representatives Bruce Tupper, Kara Dutta, Peter Roberson, and Doug Nappi (Nappi & Hoppe, LLC). The discussion included, among other things, the Commission's proposed rules regarding Security-Based Swap Data Repository Registration, Duties, and Core Principles; Regulation SBSR—Reporting and Dissemination of Security-Based Swap Information; Cross-Border Security-Based Swap Activities; and Re-Proposal of Regulation SBSR.