

MEMORANDUM

TO: File No. S7-35-10
File No. S7-34-10
File No. S7-02-13
File No. S7-34-10

FROM: Paul A. Gumagay
Office of Commissioner Luis A. Aguilar

DATE: October 30, 2013

SUBJECT: Meeting with Representatives of IntercontinentalExchange, Inc. (ICE)

On October 30, 2013, Commissioner Aguilar and Paul A. Gumagay, Counsel to the Commissioner, met with ICE representatives Bruce Tupper (President, ICE Trade Vault), Kara L. Dutta (Assistant General Counsel, ICE), and Alex Albert (Vice President, Government Relations, ICE). The discussion included, among other things, the Commission's proposed rules regarding Security-Based Swap Data Repository Registration, Duties, and Core Principles; Regulation SBSR—Reporting and Dissemination of Security-Based Swap Information; Cross-Border Security-Based Swap Activities; and Re-Proposal of Regulation SBSR.