

## **MEMORANDUM**

TO: File  
FROM: Richard Gabbert  
RE: Meeting with Representatives of the Japanese Financial Services Agency  
DATE: August 28, 2013

---

On August 28, 2013, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from the Japanese Financial Services Agency. The SEC representatives present at the meeting were Brian Bussey, Eric Pan, and Richard Gabbert.

At the meeting, the SEC’s cross-border proposal was briefly discussed, and SEC representatives answered questions about the release.