

MEMORANDUM

TO: File
FROM: Brian Bussey
RE: Meeting with Representatives of the OTC Derivatives Regulators Group
DATE: May 29, 2013

On May 29, 2013, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from the OTC Derivatives Regulators Group. The SEC representatives present at the meeting were Kim Allen, Brian Bussey, Robert Fisher, and Eric Pan.

The SEC’s cross-border proposal was a part of the discussions at the meeting.