

MEMORANDUM

TO: File
FROM: Brian Bussey
RE: Meeting with Representatives of the Hong Kong Securities and Futures Commission, Hong Kong Monetary Authority, Hong Kong Financial Services and Treasury Bureau, Mandatory Provident Fund Authority, Office of the Commissioner of Insurance, and Monetary Authority of Macao
DATE: May 27, 2013

On May 27, 2013, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from the Hong Kong Securities and Futures Commission, Hong Kong Monetary Authority, Hong Kong Financial Services and Treasury Bureau, Mandatory Provident Fund Authority, Office of the Commissioner of Insurance, and Monetary Authority of Macao. The SEC representatives present at the meeting were Brian Bussey, Robert Fisher, and Eric Pan.

At the meeting, the SEC representatives gave a brief presentation on the SEC’s cross-border proposal and answered questions about the release.