

MEMORANDUM

TO: File
FROM: Margaret Rubin
RE: Meeting with Representative of Barclays Capital Inc.
DATE: March 23, 2015

On March 19, 2015, representatives from the Securities and Exchange Commission (“SEC”) participated in a conference call with a representative from Barclays.

The SEC representatives present were Brian Bussey, Carol McGee, Richard Gabbert, Narahari Phatak, Charles Lin, and Margaret Rubin. The Barclays Capital Inc. representative was Chris Allen. At the meeting, the SEC and Barclays Capital Inc. representative discussed issues related to implementation of Title VII of the Dodd-Frank Act.