

MEMORANDUM

TO: File
FROM: Margaret Rubin
RE: Meeting with Representative of Americans for Financial Security
DATE: June 3, 2014

On May 29, 2014, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with a representative from Americans for Financial Security (“AFR”).

The SEC representatives present were Brian Bussey, Carol McGee, Richard Gabbert, and Margaret Rubin. The AFR representative at the meeting was Marcus Stanley. At the meeting, the AFR representative provided his views and observations on changes in the OTC derivatives market in response to ongoing implementation of Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act.