

## MEMORANDUM

**TO:** File No. S7-02-10, S7-03-10

**FROM:** Arisa Tinaves  
Division of Trading and Markets

**DATE:** March 10, 2010

**RE:** Staff Meeting with Managed Funds Association

On February 26, 2010, representatives of the Managed Funds Association (“MFA”) (Bill Goodell, Maverick Capital Ltd.; Steve Kessler, S.A.C. Capital Advisors, LP; Michael Mendelsen, AQR Capital Management, LLC; John Nagel, Citadel Investment Group, LLC; Stuart Kaswell, MFA; Jennifer Han, MFA; and Matthew Newell, MFA) met with staff from the Division of Trading and Markets (Robert Cook, Director; James Brigagliano, Deputy Director; David Shillman, Associate Director; Elizabeth King, Associate Director; Brian Bussey, Associate Director; John Roeser, Assistant Director; Daniel Gray, Senior Special Counsel; Michael Hershaft, Special Counsel; and Arisa Tinaves, Special Counsel) and staff from the Division of Risk, Strategy and Financial Innovation (Adam Glass; Jennifer Marietta-Westberg; Richard Bookstaber; George Aragon; and Harvey Westbrook). The discussion included, among other things, the Commission’s proposed rule regarding risk management controls for broker-dealers with market access and the Commission’s Concept Release on Equity Market Structure.