

MEMORANDUM

TO: File No. S7-02-10

FROM: James R. Burns
Counsel to Chairman Schapiro

DATE: April 12, 2011

RE: Meeting with the Buy-Side Equity Trader Roundtable

On Monday, April 11, 2011, Chairman Mary L. Schapiro, along with James Burns and staff from the Division of Trading and Markets (James Brigagliano, Deputy Director; David Shillman, Associate Director; John Roeser, Assistant Director; Daniel Gray, Senior Special Counsel; Ted Venuti, Senior Special Counsel; David Dimitriou, Senior Special Counsel; and Arisa Tinaves, Special Counsel) met with the following representatives from the Buy-Side Equity Trader Roundtable (“Roundtable”):

Christina Kelerchian, Goldman Sachs Asset Management
Damian Moroun, GE Asset Management
Dan Royal, Janus Capital Group
David Brooks, The Boston Company
Jeff Estella, MFS
Jonathan Clark, Blackrock
Mike McCauley, Pyramis Global Advisors
Rob McGrath, Schroders

Among other matters, the discussion included the Roundtable’s views on the Commission’s Concept Release on Equity Market Structure.