

MEMORANDUM

TO: File Nos. S7-02-10; File for Dodd-Frank Legislation (Mandatory Clearing of Security-Based Swaps, End User Exception and Security-Based Swap Clearing Agencies)

FROM: James R. Burns
Counsel to Chairman Schapiro

DATE: September 29, 2010

SUBJECT: Meeting with NYSE

On September 21, 2010, Chairman Schapiro, Didem Nisanci, and James Burns, along with Robert Cook of the Division of Trading and Markets, met with Duncan Niederauer and John Halvey of NYSE. Among the issues discussed were NYSE's views on the Commission's market structure initiatives and implementation of Title VII of the Dodd-Frank legislation.