

MEMORANDUM

TO: File Nos. S7-02-10, SR-BATS-2010-018, SR-BX-2010-044,
SR-CBOE-2010-065, SR-CHX-2010-14, SR-EDGA-2010-05,
SR-EDGX-2010-05, SR-FINRA-2010-033, SR-ISE-2010-66,
SR-NASDAQ-2010-079, SR-NYSE-2010-49, SR-NYSEAmex-2010-63,
SR-NYSEArca-2010-61, SR-NSX-2010-08, SR-NASDAQ-2010-074

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: July 14, 2010

SUBJECT: Meeting with Representatives of the Securities Traders Association

On July 13, 2010, Commissioner Aguilar, along with Michael E. Coe, Counsel to the Commissioner, met with the following representatives of the Securities Traders Association:

Brett F. Mock – Managing Director, BTIG; STA Chairman
Joseph N. Cangemi – Managing Director, BNY ConvergEx Group; STA Vice Chairman
Joel G. Oswald – Principal, Williams & Jensen, PLLC

The discussion included, among other things, the Commission's Concept Release on Equity Market Structure, rule filings by the equities exchanges to expand the list of securities subject to single-stock circuit breakers, and the rule filing by The NASDAQ Stock Market regarding a volatility-based pause in trading in individual NASDAQ-listed securities.