

## **MEMORANDUM**

**TO:** File Nos. S7-02-10, 4-602

**FROM:** James R. Burns  
Counsel to Chairman Schapiro

**DATE:** June 4, 2010

**SUBJECT:** Meeting with High Frequency Trading Firms

On June 3, Chairman Schapiro, Robert Cook, Didem Nisanci and I met with Liam Connell, Chief Executive Officer, Allston Trading, LLC; Richard B. Gorelick, Chief Executive Officer, RGM Advisors, LLC; Adam Nunes, President of HRT Financial LLC, a subsidiary of Hudson River Trading, LLC; Cameron Smith, General Counsel, Quantlab Financial, LLC; and Micah Green, Patton Boggs LLP. Among the topics discussed were the views and observations of these individuals relating to topics germane to the above-referenced file numbers.