

MEMORANDUM

TO: File Nos. S7-02-10, S7-03-10, S7-10-10

FROM: Daniel Gien
Division of Trading and Markets

DATE: April 15, 2010

RE: Staff Meeting with Lime Brokerage LLC

On April 15, 2010, representatives of Lime Brokerage LLC (Jeffrey Wecker, President and CEO; John Jacobs, Director of Operations; and Deborah Mittelman, Director of Product, US Equities) met with staff from the Division of Trading and Markets (Robert Cook, Director; James Brigagliano, Deputy Director; David Shillman, Associate Director; John Roeser, Assistant Director; Marc McKayle, Special Counsel; Theodore Venuti, Special Counsel; and Daniel Gien, Attorney). The discussion included the Commission's proposal regarding Risk Management Controls for Brokers or Dealers with Market Access, the Commission's Concept Release on Equity Market Structure, and the Commission's proposal regarding a Large Trade Reporting System.