

MEMORANDUM

To: File No. S7-01-23
From: Office of Commissioner Caroline Crenshaw
Date: October 30, 2023
Re: Meeting with SIFMA

Commissioner Caroline Crenshaw & Robert Cobbs met with:

- Chris Killian, MD, SIFMA
- Bryan Tsu, Managing Director, PIMCO
- Kyra Fecteau, Fixed Income Portfolio Manager, Wellington Management
- Jennifer Johnson, Vice President and Associate General Counsel, Nuveen
- Stuart Litwin, Partner and Head of Structured Finance Practice, Mayer Brown
- Michelle Stasny, Counsel, Mayer Brown
- Samuel Peckham, Executive Director, JP Morgan Securities
- Christopher Haas, Managing Director - Legal, Bank of America
- Tejal Wadhvani, Managing Director - Legal, Goldman Sachs
- Patrick Chen, Vice President - Legal, Morgan Stanley
- Jenny Jacoby, Managing Director, SIFMA

The Commission's rulemaking titled Prohibition Against Conflicts of Interest in Certain Securitizations (S7-01-23) was discussed.